

01-13-07



Please type a plus sign (+) inside this box [+]

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b) | | | | | | | |
|--|---|--|--|--|--|--|--|
| Attorney Dock | et No 002114.P006 Pages <u>5</u> | | | | | | |
| First Named Inventor or Application Identifier Michael Glover | | | | | | | |
| Express Mail Label No. <u>EL431888729US</u> | | | | | | | |
| ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D. C. 20231 | | | | | | | |
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | | | | | | | |
| | Fee Transmittal Form (Submit an original, and a duplicate for fee processing) | | | | | | |
| | Specification (Total Pages) (preferred arrangement set forth below) - Descriptive Title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Summary of the Drawings (if filed) - Detailed Description - Claims - Abstract of the Disclosure | | | | | | |
| 3. <u>X</u> 1 | Drawings(s) (35 USC 113) (Total Sheets <u>6</u>) | | | | | | |
| | Oath or Declaration (Total Pages) a Newly Executed (Original or Copy) b Copy from a Prior Application (37 CFR 1.63(d)) | | | | | | |
| | Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | | | | | | |

6.

7.

Microfiche Computer Program (Appendix)

Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)
a. Computer Readable Copy
b. Paper Copy (identical to computer copy)
c. Statement verifying identity of above copies

| | ACCOMPANYING APPLICATION PARTS | | | | |
|--|---|--|--|--|--|
| 8. ₋ 9. | Assignment Papers (cover sheet & documents(s)) a. 37 CFR 3.73(b) Statement (where there is an assignee) | | | | |
| _ | b. Power of Attorney | | | | |
| 10. | English Translation Document (if applicable) | | | | |
| 11. | a. Information Disclosure Statement (IDS)/PTO-1449 | | | | |
| | b. Copies of IDS Citations | | | | |
| 12. | Preliminary Amendment | | | | |
| 13. | X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | | | | |
| 14 | a. Small Entity Statement(s) | | | | |
| | b. Statement filed in prior application, Status still proper and desired | | | | |
| 15 | Certified Copy of Priority Document(s) (if foreign priority is claimed) | | | | |
| | | | | | |
| 16 | X Other: Copy of postcard with Express Mail Certificate of Mailing | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: Continuation Divisional Continuation-in-part (CIP) of prior application No: | | | | | |
| 18. | Correspondence Address | | | | |
| | Customer Number or Bar Code Label | | | | |
| | (Insert Customer No. or Attach Bar Code Label here) or | | | | |
| <u>x</u> | | | | | |
| | | | | | |
| NAME | NAME Sheryl Sue Holloway Reg No. 37,850 | | | | |
| | BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP | | | | |
| | | | | | |
| ADDR | ESS 12400 Wilshire Boulevard | | | | |
| | Seventh Floor | | | | |
| СПА Т | CITY Los Angeles STATE California ZIP CODE 90025-1026 | | | | |
| Countr | ry <u>U.S.A.</u> TELEPHONE <u>(408) 720-8300</u> FAX <u>(408) 720-9397</u> | | | | |

EXPRESS MAIL CERTIFICATE OF MAILING

| Date of Deposit: I hereby certify that I am of be deposited with the Unit "Express Mail Post Office the date indicated above a has been addressed to the for Patents, Washington, I (Typed or printed name of | "Express Mail" mailing label number: Date of Deposit: I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 2023 (Typed or printed name of person mailing paper or fee) | | | | | |
|--|---|--|--|--|--|--|
| (Signature of person maili | ng paper or tee) | | | | | |
| (Date signed) | | | | | | |
| | | | | | | |
| | | | | | | |
| Client: Network Associates. Inc Title: FAST VIRUS SCANNING BSTZ File No.: 002114-P006 Date Mailed: 1/11/2000 The following has been received in the U.S. Pa Amendment/Response (pgs.) Appeal Brief (pgs.) (in triplicate) Application - Utility 27 pgs., with cover and abstract) Application - Rule 1.53(b) Continuation (pgs.) Application - Rule 1.53(b) Divisional (pgs.) Application - Rule 1.53(b) CIP (pgs.) Application - Rule 1.53(d) CPA Transmittal (pgs.) Application - Design (pgs.) Application - PCT (pgs.) Application - PCT (pgs.) Application - Provisional (pgs.) Assignment and Cover Sheet Certificate of Mailing Express Mail Declaration & POA (pgs.) Disclour Dors & Oig & Copy of Internot Signed Later (pgs.) Drawings: 6# of sheets includes 7 figures | Atty/Secty Initials: | | | | | |
| Other: | | | | | | |



PTO/SB/17(6/99) Approved for use through 09/30/2000. OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| FEE TRANSMITTAL FOR FY 2000 | | | | | | | | |
|---|--|--|--|--|--|--|--|--|
| TOTAL AMOUNT OF PAYMENT (\$) | | | | | | | | |
| Complete if Known: | | | | | | | | |
| Application No Filing Date December 30, 1999 | | | | | | | | |
| First Named Inventor Michael Glover | | | | | | | | |
| Group Art Unit Not Yet Assigned | | | | | | | | |
| Examiner Name Not Yet Assigned | | | | | | | | |
| Attorney Docket No. <u>002114.P006</u> | | | | | | | | |
| METHOD OF PAYMENT (check one) | | | | | | | | |
| The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: | | | | | | | | |
| Deposit Account Number <u>02-2666</u> | | | | | | | | |
| Deposit Account Name | | | | | | | | |
| [X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | | | | | | | | |
| *************************************** | | | | | | | | |
| 2. X Payment Enclosed: | | | | | | | | |
| X Check | | | | | | | | |
| Money Order Other | | | | | | | | |
| FEE CALCULATION | | | | | | | | |
| | | | | | | | | |
| 1. BASIC FILING FEE | | | | | | | | |
| Large Entity Small Entity | | | | | | | | |
| Fee Fee Fee | | | | | | | | |
| Code (\$) Code(\$) <u>Fee Description</u> Fee Paid | | | | | | | | |
| 101 690 201 345 Utility application filing fee <u>690.00</u> | | | | | | | | |
| 106 310 206 155 Design application filing fee | | | | | | | | |
| 107 480 207 240 Plant filing fee | | | | | | | | |
| 108 690 208 345 Reissue filing fee 114 150 214 75 Provisional application filing fee | | | | | | | | |
| 114 130 214 75 Provisional application lining lee | | | | | | | | |
| SUBTOTAL (1)\$ 690.00 | | | | | | | | |
| 2. EXTRA CLAIM FEES Fee from | | | | | | | | |
| Extra Claims below Fee Paid | | | | | | | | |
| Total Claims $29 - 20^{**} = 9$ X $18.00 = 162.00$ | | | | | | | | |
| Independent Claims $5 - 3^{**} = 2$ | | | | | | | | |
| Multiple Dependent = = | | | | | | | | |
| **Or number previously paid, if greater; For Reissues, see below. <u>Large Entity</u> <u>Small Entity</u> | | | | | | | | |
| Large Entity Small Entity | | | | | | | | |
| Fee Fee Fee | | | | | | | | |
| Code (\$) Code(\$) <u>Fee Description</u> | | | | | | | | |
| 103 | | | | | | | | |
| 102 78 202 39 Independent claims in excess of 3 | | | | | | | | |
| 104 260 204 130 Multiple dependent claim, if not paid | | | | | | | | |
| 109 78 209 39 **Reissue independent claims over original patent | | | | | | | | |
| 110 18 210 9 **Reissue claims in excess of 20 and over original patent | | | | | | | | |
| SUBTOTAL (2)\$ <u>318.00</u> | | | | | | | | |
| • • ———— | | | | | | | | |
| | | | | | | | | |
| 10/00/00 | | | | | | | | |





FEE CALCULATION (continued)

3. ADDITIONAL FEES

| <u>Large</u> | | | Entity | | |
|--------------|---------|----------|--------|--|-------------|
| Fee | Fee | Fee | Fee | | |
| Code | (\$) | Code | (\$) | <u>Fee Description</u> | Fee Paid |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 27 | 50 | 227 | 25 | Surcharge - late provisional filing fee | |
| | | | | or cover sheet | |
| 39 | 130 | 139 | 130 | Non-English specification | |
| | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 12 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 13 1 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 15 | 110 | 215 | 55 | Extension for response within first month | - |
| 16 | 380 | 216 | 190 | Extension for response within second month | |
| 17 | 870 | 217 | 435 | Extension for response within third month | |
| | 1,360 | 218 | 680 | Extension for response within fourth month | |
| | 1,850 | 228 | 925 | Extension for response within fifth month | |
| 19 | 300 | 219 | 150 | Notice of Appeal | |
| 20 | 300 | 220 | 150 | Filing a brief in support of an appeal | |
| 21 | 260 | 221 | 130 | Request for oral hearing | |
| | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 40 | 110 | 240 | 5 5 | Petition to revive unavoidably abandoned application | |
| 41 1 | 1,210 | 241 | 605 | Petition to revive unintentionally abandoned application | |
| 42 1 | 1,210 | 242 | 605 | Utility issue fee (or reissue) | |
| 43 | 430 | 243 | 215 | Design issue fee | |
| 44 | 580 | 244 | 290 | Plant issue fee | |
| 22 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 23 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 26 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | |
| 81 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 46 | 760 | 246 | 380 | For filing a submission after final rejection (see 37 CFR 1.129(a)) | |
| 49 | 760 | 249 | 380 | For each additional invention to be examined (see 37 CFR 1.129(a)) | |
| Other | fee (sp | ecify) _ | | | |
| Other | fee (sp | ecify) _ | | | |
| | | • • | | | 0 |

*Reduced by Basic Filing Fee Paid

| SUBMITTED BY: | |
|----------------------------------|--------------------------|
| Typed or Printed Name: Sheryl St | ıe Holloway |
| Signature | Date <u>02-2666</u> |
| Reg. Number <u>37,850</u> | Deposit Account User ID |
| | (complete if applicable) |

12/29/99